UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 1, 2021

Trecora Resources

(Exact name of registrant as specified in its charter)

Delaware

1-33926

75-1256622

(State or other jurisdiction of incorporation)

(Commission File Number)

(IRS Employer Identification No.)

1650 Hwy 6 South, Suite 190 Sugar Land, Texas 77478 (Address of principal executive offices, including Zip Code)

(281) 980-5522

(Registrant's telephone number, including area code)

Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Dre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.10 per share	TREC	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.05. Amendments to the Registrant's Code of Ethics, or Waiver of a Provision of the Code of Ethics.

Effective as of October 1, 2021, the board of directors of Trecora Resources (the "Company") has adopted an amended and restated Code of Business Conduct and Ethics (the "Code"). The Code applies to all employees, officers and directors of the Company, as well as to the Company's independent contractors and temporary employees. The Code reflects what the Company considers to be current best practices and policies and reduces complexity and improves readability over the Company's prior Standards of Business Conduct. The adoption of the amended and restated Code did not relate to or result in any waiver, explicit or implicit, of any provision of the existing Standards of Business Conduct.

The above description of the amended and restated Code does not purport to be complete and is qualified in its entirety by reference to the full text of the Code, a copy of which is available on the Company's investor relations website at https://ir.trecora.com/governance-docs. Information on the Company's website shall not be deemed incorporated by reference into, or to be a part of, this Current Report on Form 8-K.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TRECORA RESOURCES

Date: October 1, 2021

By: <u>/s/ Michael W. Silberman</u> Michael W. Silberman General Counsel and Corporate Secretary