FORM 4	4
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T	Check this box if no
	longer subject to
	Section 16. Form 4 or
	Form 5 obligations
	may continue. See
	Instruction 1(b).

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)											
1. Name and Address of Reporting Person <sup>*</sup> Pons Rafael	2. Issuer Name and TRECORA RES			0,	1	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) C/O TRECORA RESOURCES, 165 SUITE 190		3. Date of Earliest Tr 06/24/2022	ransaction (	Mont	h/Day/Ye	ar)	X Officer (give title below) Other (specify below) Other (specify below) Officer _				
(Street) SUGAR LAND, TX 77478	4. If Amendment, Da	ate Original	Filed	(Month/Day	/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	3. Transact Code (Instr. 8)	tion	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) 6. 7. Ownership of Form: B			
		(Month/Day/Year)	Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)		
Common stock	06/24/2022		U		5,590	D	\$ 9.81	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	Conversion	(Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	tion )	5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		r - /		Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	Beneficial
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	or Number of Shares				
Restricted Stock Units	<u>(1)</u>	06/27/2022 <mark>(2)</mark>		D			2,309	<u>(3)(4)</u>	<u>(3)(4)</u>	Common stock	2,309.00	\$ 9.81 ( <u>3</u> )	0	D	
Restricted Stock Units	<u>(1)</u>	06/27/2022 <mark>(2)</mark>		D			6,410	<u>(3)(5)</u>	<u>(3)(5)</u>	Common stock	6,410.00	\$ 9.81 ( <u>3</u> )	0	D	
Restricted Stock Units	<u>(1)</u>	06/27/2022 <mark>(2)</mark>		D			9,866	<u>(3)(6)</u>	<u>(3)(6)</u>	Common stock	9,866.00	\$ 9.81 ( <u>3</u> )	0	D	
Restricted Stock Units	<u>(1)</u>	06/27/2022 <mark>(2)</mark>		D			6,924	<u>(3)(7)</u>	<u>(3)(7)</u>	Common stock	6,924.00	\$ 9.81 ( <u>3</u> )	0	D	
Restricted Stock Units	<u>(1)</u>	06/27/2022 <mark>(2)</mark>		D			9,612	<u>(3)(8)</u>	<u>(3)(8)</u>	Common stock	9,612.00	\$ 9.81 ( <u>3</u> )	0	D	
Restricted Stock Units	<u>(1)</u>	06/27/2022 <sup>(2)</sup>		D			9,866	<u>(3)(9)</u>	<u>(3)(9)</u>	Common stock	9,866.00	\$ 9.81 (3)	0	D	

## **Reporting Owners**

Relationships

	Director	10% Owner	Officer	Other
Pons Rafael C/O TRECORA RESOURCES 1650 HWY 6 S, SUITE 190 SUGAR LAND, TX 77478			Chief Manufacturing Officer	

## Signatures

 /s/ Michael W. Silberman, Attorney-in-Fact
 06/28/2022

 ∴Signature of Reporting Person
 Date

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted stock units convert into common stock on a one-for-one basis.
- On May 11, 2022, Trecora Resources (the "Issuer") entered into an Agreement and Plan of Merger with the Balmoral Swan Parent, Inc., a Delaware corporation (the "Parent"), and
   Balmoral Swan MergerSub, Inc., a Delaware corporation (the "Purchaser") and a wholly owned subsidiary of Parent (the "Merger Agreement"). On June 27, 2022, the Purchaser merged with and into the Issuer pursuant to Section 251(h) of the General Corporation Law of the State of Delaware, with the Issuer being the surviving corporation and becoming a wholly-owned subsidiary of the Parent. All terms capitalized but not defined shall have the meaning given to them in the Merger Agreement.

Pursuant to the Merger Agreement, each unvested restricted stock unit was automatically cancelled immediately prior to the Effective Time and converted into a deferred cash award (3) equal to the product of (i) \$9.81 multiplied by (ii) the number of shares of common stock underlying such restricted stock unit (with any such restricted stock unit that are subject to performance beed vertice being down in the subject to the stock unit was a stock underlying such restricted stock unit (with any such restricted stock unit that are subject to performance beed vertice being down in the subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit that are subject to the stock unit (with any such restricted stock unit that are subject to the stock unit that are subject to the stock unit that are subject to the stock unit to the stoc

- (3) performance-based vesting being deemed earned assuming achievement of target performance), less any applicable withholding taxes. Such deferred cash awards will be payable on the earlier of January 20, 2023 or on a qualifying termination as prescribed by the Merger Agreement.
- (4) Represents the remaining portion of a grant of 6,924 restricted stock units in February 2020, which were originally scheduled to vest in three equal annual installments beginning on February 13, 2021.
- (5) Represents the remaining portion of a grant of 9,611 restricted stock units in February 2021, which were originally scheduled to vest in three equal annual installments beginning on February 25, 2022.
- (6) These restricted stock units were granted in February 2022 and were originally scheduled to vest in three equal annual installments beginning on February 16, 2023.
- (7) Represents performance-based restricted stock units granted in 2020, which were originally scheduled to vest based on the achievement of certain performance criteria following a three year performance period that began on January 1, 2020.
- (8) Represents performance-based restricted stock units granted in 2021, which were originally scheduled to vest based on the achievement of certain performance criteria following a three year performance period that began on January 1, 2021.
- (9) Represents performance-based restricted stock units granted in 2022, which were originally scheduled to vest based on the achievement of certain performance criteria following a three year performance period that began on January 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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